

## Techniques to Improve Coating Adhesion of Superhard Coatings

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### Abstract

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This work addresses the development of different techniques in fabricating TiB<sub>2</sub> coatings with increased adhesion to the substrate and with retained high hardness. Titanium diboride (TiB<sub>2</sub>) coatings were deposited on high-speed steel substrates by magnetron sputtering of a TiB<sub>2</sub> target. Attempts to enhance the coating adhesion to the substrate of the TiB<sub>2</sub> coatings by controlling the deposition conditions and parameters are presented, such as effect of substrate rotation; annealing temperature; using deposited Cr as an interlayer material and Ti/TiB<sub>2</sub> multilayer system. The structure of the coatings was examined by X-ray diffraction, field-emission scanning electron microscopy, and atomic force microscopy. The coating hardness and coating-substrate adhesion were investigated by nanoindentation and micro-scratch tests. It was found that the adhesion of resultant TiB<sub>2</sub> coatings was increased tremendously by stationary substrate during deposition; annealing at optimal temperature of 450°C; using Cr interlayer and increased alternate TiB<sub>2</sub>/Ti layers.

**Key words:** titanium diboride coating, magnetron sputtering, adhesion and micro-scratch

### Introduction

Titanium diboride (TiB<sub>2</sub>) is well known as a ceramic compound with a hexagonal crystal structure and with relatively high strength and durability as characterised by its relatively high melting point, hardness, strength to density ratio, abrasion, oxidation resistance and wear resistance.<sup>(1, 2)</sup> Recently, one of the major uses of TiB<sub>2</sub> is as a wear resistant material in such areas as impact resistant armor, cutting tools, bearings, sandblasting nozzles, crucibles, and wear resistant coatings.<sup>(1, 3)</sup> Although TiB<sub>2</sub> coatings have been widely studied by many researchers, their real applications have been very limited. The fact is that the adhesion of the TiB<sub>2</sub> coatings is poor for the coating-substrate system. The main reasons for this are that the TiB<sub>2</sub> coating deposited is very brittle and that it accommodates very high compressive residual stresses. These limit both the practical adhesion and the thickness of the TiB<sub>2</sub> coatings.<sup>(4)</sup> Actually, the adhesion of the coating is the most critical aspect of the coating-substrate system and adhesion theories generally

do not provide guidelines on how to achieve good film or coating adhesion in practice. Conventional wisdom, for example, suggests using very clean substrates. However, this may not necessarily work for metal films on glass substrates because optimum adhesion appears to occur only when the metal contacts the substrate through an oxide bond.<sup>(5)</sup>

In the present investigation, attempts have been made to fabricate TiB<sub>2</sub>-based nanostructured engineering coatings on high speed steel substrate with the techniques to enhance its coating adhesion. The characterization of their structures and properties has been studied.

### Experimental Procedure

High speed steel (HSS) was chosen as a substrate in this study. The commercial HSS, SECO WKE45 (Sweden) was in a fully hardened and tempered condition. The tempering temperature of the HSS was about 600°C. The chemical composition (wt.%) of the HSS substrate is shown in Table 1.

High-purity argon gas was then introduced into the chamber after it was evacuated to below  $5 \times 10^{-4}$  Pa. All targets i.e. TiB<sub>2</sub>, Ti and Cr, were 75 mm diameter and 5 mm thickness. The two TiB<sub>2</sub> targets were powered in the radio frequency (rf) mode and the metallic Ti and Cr target were powered in the direct current (dc) mode. Then the targets were pre-sputtered with the target shutters closed. The working table was rotating (6 rpm) and stationary during the deposition process. The substrate to target distance was held constant at 100 mm for dc target

(Ti and Cr) and at 60 mm for rf target (TiB<sub>2</sub>). All the experiments were conducted at a constant working pressure of 0.65 Pa and at a total gas flow rate (Ar) of 20 sccm. The substrate temperature was 400°C for all depositions. A RF power biased to the substrate was used to sputter clean the substrate surface and provide substrate bias at different levels for some depositions. A thin (about 50 nm) pure Ti interlayer was deposited first in the cases of using Ti as an interlayer. Table 2. summarises the deposition conditions and parameters presented, such as effect

**Table 1.** Chemical composition of high-speed steel (wt.%)

Element	C	Cr	W	Mo	V	Co	Fe
Content (wt.%)	1.4	4.2	9	3.5	3.5	12.5	Balance

**Table 2.** Deposition conditions and properties of resultant coatings

Materials	Substrate rotation	Deposition details	Sputter-cleaning of substrate	Coating thickness (micron)	H* (GPa)	E** (GPa)	L <sub>c</sub> *** (mN)
<b>Effect of substrate rotation</b>							
Sample 1	rotating	Ti 20 min + TiB <sub>2</sub> 300 min	No	2.5	20.4	297.9	880
Sample 2	stationary	Ti 20 min + TiB <sub>2</sub> 200 min	No	2.5	42.7	368.2	2,153
<b>Effect of heat treatment</b>							
Sample 3	stationary	Ti 30 min + TiB <sub>2</sub> 180 min	150 W 30 min	1.98	31.6	321.3	1,089
Sample 4	stationary	Ti 30 min + TiB <sub>2</sub> 180 min & annealed at 450 °C (2 h)	150 W 30 min	1.83	41.9	338.3	2,596
Sample 5	stationary	Ti 30 min + TiB <sub>2</sub> 180 min & annealed at 500 °C (2 h)	150 W 30 min	1.67	38.8	326.6	1,880
Sample 6	stationary	Ti 30 min + TiB <sub>2</sub> 180 min & annealed at 550 °C (2 h)	150 W 30 min	1.67	38.7	305.8	1,653
<b>Effect of Cr interlayer</b>							
Sample 7	stationary	Ti 20 min + TiB <sub>2</sub> 180 min	150 W 90 min	1.75	34.3	329.5	1,240
Sample 8	stationary	Cr 20 min + TiB <sub>2</sub> 180 min	150 W 90 min	1.7	36.3	303.1	2,034
<b>Effect of multilayer</b>							
Sample 9	stationary	2 (Ti 20 min + TiB <sub>2</sub> 90 min)	150 W 90 min	2.52	33.4	340.2	1,855
Sample 10	stationary	3 (Ti 20 min + TiB <sub>2</sub> 60 min)	150 W 90 min	3.15	35.6	365.4	1,995
Sample 11	stationary	6 (Ti 20 min + TiB <sub>2</sub> 30 min)	150 W 90 min	3.42	20.1	126.4	1,650

\* H = hardness, \*\* E = Reduced modulus, \*\*\* L<sub>c</sub> = Critical load to coating failure

of substrate rotation; annealing temperature; using deposited Cr as an interlayer material and Ti/TiB<sub>2</sub> multilayer system.

The phase identification of the resultant coatings was determined by Rigaku x-ray diffractometer with Cu-K $\alpha$  radiation. Crystallographic phases were deduced by comparing the experimental diffraction pattern with the standard JCPDS data. The morphologies of surfaces and fractured cross-sections of the coatings were imaged using a field emission scanning electron microscope (FESEM), Jeol JSM 6340F. A tungsten tip was heated and a 5 kV accelerating voltage was applied to release electrons in the SEM measurements. The emission current was 12 mA during operating and the working distance was about 8 mm.

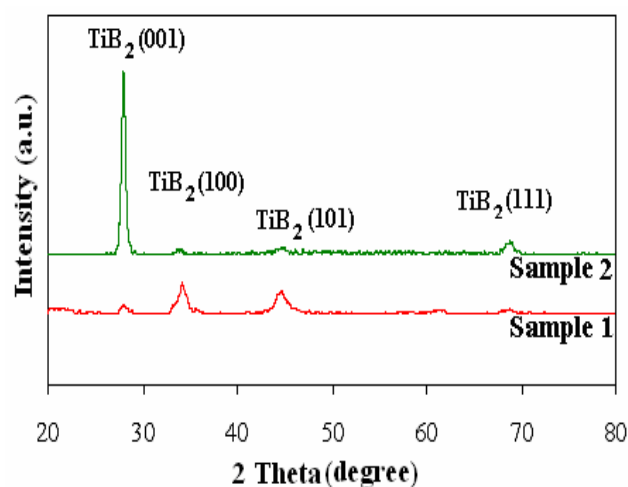
A nanoindentation test was performed using the NanoTest<sup>TM</sup> instrument (Micro Materials Limited, UK), with a Berkovich diamond indenter. All experiments were performed at a constant loading and unloading rate of 0.05 mN/s and to a penetration depth of 50 nm. The unloading curves were used to derive the hardness and reduced modulus values by the analytical technique developed by Oliver and Pharr.<sup>(6)</sup> The reported hardness and modulus values are the average of 10 measurements. The micro-scratch test was performed using a NanoTest<sup>TM</sup> device as well with an indenter topped with a conical with spherical end form of 25  $\mu$ m in radius. The stylus was moved tangential to the surface at a speed of 5  $\mu$ m/s over a length of 3,050  $\mu$ m. At the same time, the applied load was increased linearly at a rate of 5 mN/s from 0 to 500 mN. All scratch tests were performed at ambient temperature.

## Results and Discussion

Structural characterization Figure. 1 shows the X-ray diffraction patterns recorded for the selected coatings listed in Table 2. Each pattern shows several broad reflection peaks corresponding to the hexagonal TiB<sub>2</sub> structure. The broadness of the reflected peaks indicates the nanocrystalline nature of the coating structure.

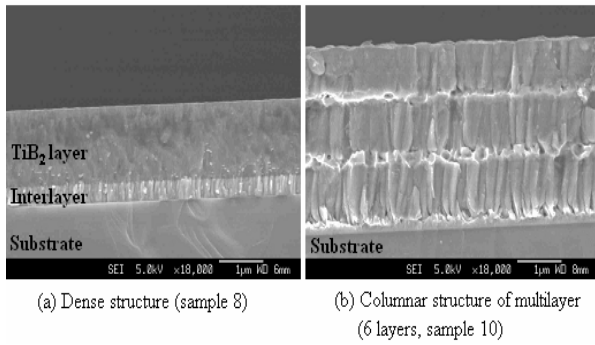
From Figure.1, it is noted that the TiB<sub>2</sub> coatings deposited on rotating substrates (sample 1) did not show any preferred orientation, whilst

the coatings on stationary substrates (sample 2) showed strong (001) orientation, with the basal plane parallel to the substrate surface. The increase of preferred (001) orientation can be explained by an increased energy of the sputtered species arriving at the substrate surface. Furthermore, from the XRD test of all samples, it can be concluded that the increase of preferred (001) orientation can be obtained by annealing, whilst the use of Cr as an interlayer material does not significantly affect the preferred (001) orientation TiB<sub>2</sub> coating. On the other hand, the preferred (001) peak decreases with the increase of the alternate layers (decrease of TiB<sub>2</sub> layers) in particular, sample 11 (12 layers).



**Figure 1.** XRD patterns recorded for the coatings studied

The fractured cross-sections of all samples were examined under FESEM, as shown for the selected samples in Figure 2. It is obvious that the thin Ti interlayer could be observed in all samples. From Figure 2, it is seen that the single TiB<sub>2</sub> coating with an interlayer under substrate stationary exhibits a dense and nearly equiaxed grain structure (Figure. 2(a)), whilst the multilayer coatings (samples 9-11) show a columnar structure (Figure.2(b)), which is typical of sputter deposition at relatively low adatom energies and limited mobility. This observation indicates that sufficient time is required for the TiB<sub>2</sub> layer to develop into a dense and equiaxed structure, and interrupting the growth of the TiB<sub>2</sub> layer with a Ti interlayer obstructs the development of such a structure and favours the columnar growth of TiB<sub>2</sub>.

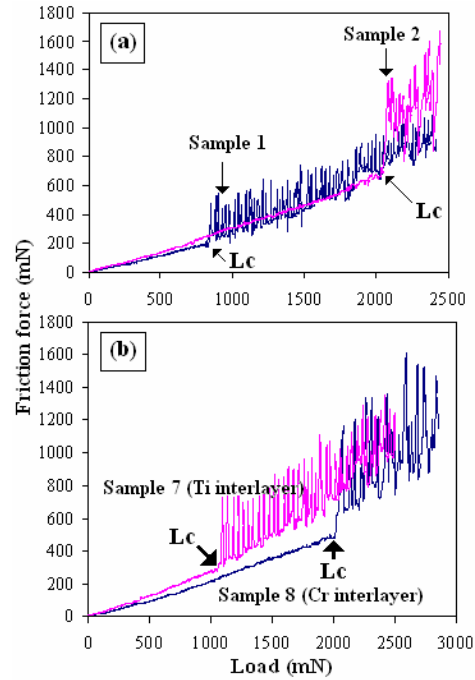


**Figure 2.** FESEM images showing the fractured cross-section of (a) sample 8 and (b) sample 10

### Mechanical properties

**Coating hardness.** The hardness and reduced modulus values measured by nanoindentation of all samples are summarised in Table 2. It is seen that all samples under stationary substrate show high hardness (above 30  $\text{GP}_a$ ) and reduced modulus (above 300  $\text{GP}_a$ ) except the sample 11 (12 alternate layers). The reduced hardness of sample 11 is due to the effect of soft Ti interlayer, which the measured thickness of  $\text{TiB}_2$  on the top layer and in each layer was around 300 nm for sample 11. This indicates that the thickness of  $\text{TiB}_2$  coatings mainly results in the hardness value. In addition, the coating under the substrate rotation (sample 1) shows a low hardness, which could be explained by the small peak of preferred (001) texture in Figure 1.

**Coating adhesion.** The critical load to the coating failure values measured by micro-scratch test of all samples are summarised in Table 2. Figure 3 (a) shows the typical scratch friction force curves recorded for sample 1 (rotating substrate) and sample 2 (stationary substrate). Each friction curve is characterized by an initial smooth region which increases with increasing load, followed by a region with large fluctuation. The critical load at the transition between these two regions coincides with that measured by the surface profile, and thus corresponds to the critical load for coating adhesive failure ( $L_c$ ). Clearly, the coating on stationary substrate (sample 2) possesses much higher critical loads than those on rotating substrate (sample 1).



**Figure 3.** Friction force curves recorded during micro-scratch test for sample 1 and sample 2 (a) and sample 7 and sample 8 (b).

From Table 2, it is seen that coating adhesion is enhanced significantly by heat treatment after deposition. It was found that annealing at an optimum temperature of 450°C (sample 4) shows the most improvement of adhesion by having the highest critical load value compared to annealing at 500°C and 550°C. From Figure 3 (b), it is seen that a Cr interlayer (sample 8) is more beneficial in further enhancing the adhesion of  $\text{TiB}_2$  coating compared to a Ti interlayer (sample 7) on HSS substrate. Such an enhancement could be explained by the increased interlayer strength and the better structural match between the BCC interlayer and the BCC-HSS substrate. From Table 2, clearly the multilayer Ti/ $\text{TiB}_2$  coatings (samples 9, 10 and 11) possess much higher critical load than the conventional two-layer coating (sample 7). Interestingly, the critical load initially increases with increasing number of layers, reaching a maximum with the six-layer coating (sample 10), and then decreases with a further increase in the number of layers to twelve (sample 11). Thus, there exists an optimum combination of alternate layers that gives the optimal enhancement in coating adhesion.

## Summary

Under the present deposition conditions, the main results are summarized below.

1. The TiB<sub>2</sub> coatings deposited on rotating substrates are characterized by random orientation, columnar growth, relatively low hardness and adhesion strength. On the other hand, without substrate rotation, the resultant coatings exhibit the beneficial (001) orientation, dense and equiaxed grain structure, and enhanced hardness and adhesion strength.

2. It was found that heat treatment at an optimum temperature of 450°C shows the most improvement of mechanical properties (hardness, elastic modulus and adhesion).

3. The adhesion can be significantly enhanced by the Cr interlayer due to the increased interlayer strength and the better structural match between the BCC-Cr interlayer and the BCC-HSS substrate.

4. Magnetron sputtering of Ti and TiB<sub>2</sub> can be used to produce multilayer coatings with increased coating adhesion and relatively high hardness.

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